



## **PRIVACY POLICY STATEMENT**

**Asset Solutions, Inc. is an Investment Advisory firm. As a client of this firm, you should be aware of the firm's policies regarding our use of the information you provide us when establishing or while maintaining a relationship with us.**

### **Privacy Policy**

Asset Solutions, Inc. does not sell personal information about our clients to anyone. We will not share personal information about our clients with other companies unless we need to share the information in order to provide a product or service a client has requested. We will not disclose information regarding you or your account with us, except under the following circumstances: To entities that perform services for us or function on our behalf, including financial service providers, our broker/dealer, Geneos Wealth Management Inc, clearing broker/dealers, investment companies, investment advisors or insurance companies. Our firm limits the collection of personal information to what is necessary to administer our business and to deliver our products and services to our clients. We do not disclose information to nonaffiliated third parties about our current or former customers, except as permitted by law. However, should for any reason our policy change, we will notify you by providing you with an amended Privacy Notice prior to making disclosure of your nonpublic information to nonaffiliated third parties that are not permitted under law. In order for Asset Solutions, Inc. to provide the services requested it is necessary that you provide Asset Solutions, Inc. with certain personal financial information. This information will generally be derived from the following sources:

- ◆ Information provided on applications and related forms, such as name, address, telephone number, Social Security or Tax Identification number, birth date, net worth, annual income, information about your personal finances, financial information such as bank accounts and medical information if insurance services are provided.
- ◆ Information about your transactions with us, such as account balances, payment history, account numbers and account activity.
- ◆ Information from your employer, association, or benefit plan sponsor regarding any group products we may provide or assist in reviewing on your behalf.
- ◆ Information from consumer reporting agencies, such as credit bureau reports and other information relating to your creditworthiness. Additionally, information may be obtained such as demographics depending on the products and services you are requesting from us.

### **How we use this information**

Your personal information is generally organized by Asset Solutions, Inc. according to your name. We use your personal information for the purpose of conducting business with you and to comply with the Federal Client Identification Program ("CIP") Program. At no time do we sell your information nor do we share it unless it is necessary to conduct business with you. On occasion, our clients' service providers such as accountants, attorneys, etc. may request nonpublic information about you. Upon written authorization from you, we will supply such provider with such information. If you decide to close your account(s) with Asset Solutions, Inc. or become an inactive customer, Asset Solutions, Inc. will adhere to the privacy policies and practices as described in this notice.

Additionally, we may be required by law or regulation to disclose information to third parties such as in response to a subpoena, to prevent fraud, to comply with rules and regulations to which we are subject, in response to inquiries from industry regulators, and in order to comply with our broker/dealer's policies with whom our associated persons may be registered.

### **Protection of Client Information**

Our firm's policies require that employees with access to confidential information may not use or disclose the information except for business use. Access to client information is available to employees on a "need-to-know" basis only and is restricted to certain employees, representatives and agents with a business reason for access to such information. Asset Solutions, Inc. maintains physical, electronic, and procedural safeguards to guard your non-public personal information in accordance with established security standards and procedures and we train our employees and representatives to understand and comply with these protections.

A special note about medical or health information: While we might receive medical or health information from you at the time of application for various types of insurance, we do not use it or share it, internally or externally, for any purpose other than what is directly related to the administration of your policy, account, or claim, as required or permitted by law, or as you authorize us to do.

### **Changes to Asset Solutions, Inc. Privacy Policies**

From time to time the firm may update its privacy policies. All new clients will receive the firm's most current privacy policy upon entering into an agreement or opening an account with the firm. All clients will be provided with the firm's most current privacy policies at least annually.

### **Maintaining the Accuracy of Client Information**

Keeping client account information accurate and up to date is very important to us. If you ever find that your account information is incomplete, inaccurate or not current, please write or call us at the location listed below. We will correct any inaccurate or out-dated information as soon as possible.

### **Contact Asset Solutions, Inc:**

You may contact us by telephone at:

Or you may also communicate with us in writing at:

303-932-2288

Asset Solutions, Inc.

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